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Total Number of Pages in This Submission

74

Application Number 10/057,198

Filing Date 10/26/2001

First Named Inventor Peter M. LLOYD

Art Unit 1616

Examiner Name M. Haghighatian

Attorney Docket Number 00006.01R

## ENCLOSURES (Check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|--|---|

### Remarks

Suppl. Info. Discl. Statement (2pp.)  
 Table (10pp.)  
 Form 1449 (3 copies 1 page each)  
 Copy of Office Action (12pp.)  
 Copy of 3 references (47pp.)  
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303  
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Customer Number: 37485

Date October 20, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 20, 2003

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LISSA OROS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Peter M. LLOYD et al.

Examiner: M. Haghighatian

Serial No.: 10/057,198

Group Art Unit: 1616

Filing Date: 10/26/2001

Date: October 20, 2003

For: METHOD AND DEVICE FOR DELIVERING A PHYSIOLOGICALLY ACTIVE COMPOUND

Supplemental Information Disclosure Statement

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application additional references. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), and before the mailing date of the first Office Action on the merits.

For the convenience of the Examiner, the references are listed on a Supplemental PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Additionally, for purposes of clarifying the relationship of various applications to others, Applicants have included a table titled "Table – Alexza Non-Provisional U.S. Applications" for reference by the Examiner, which lists the priority documents from which each application depends.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number: 37485.

Respectfully submitted,



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**Table - Non-Provisional U.S. Applications**

<b>Application Number</b>	<b>Application Date</b>	<b>Title</b>	<b>Docket Number</b>	<b>Former Docket No.</b>	<b>Priority Information</b>
10/057,198	10/26/2001	METHOD AND DEVICE FOR DELIVERING A PHYSIOLOGICALLY ACTIVE COMPOUND	00006.01R	509032001600	60/296,225 (Filed 06/05/2001)
10/150,056	5/15/2002	DELIVERY OF DIAZEPAM THROUGH AN INHALATION ROUTE	00010.02R	509032003800	60/345,882 (Filed 11/09/2001)
10/302,614	11/21/2002	OPEN-CELLED SUBSTRATES FOR DRUG DELIVERY	00011.02R	509032001000	60/332,165 (Filed 11/21/2001)
10/302,010	11/21/2002	DELIVERY OF CAFFEINE THROUGH AN INHALATION ROUTE	00012.02R	509032001200	60/332,279 (Filed 11/21/2001)
10/057,197	10/26/2001	AEROSOL GENERATING DEVICE AND METHOD	00014.01R	509032001500	60/296,225 (Filed 06/05/2001)
10/146,080	5/13/2002	AEROSOL FORMING DEVICE FOR USE IN INHALATION THERAPY	00017.01CIP	509032002100	CIP of 10/057,198 (filed 10/26/2001) and CIP of 10/057,197 (Filed 10/26/2001) and claims benefit of 60/296,225 (Filed 06/05/2001)
10/146,086	5/13/2002	METHOD AND APPARATUS FOR VAPORIZING A COMPOUND	00018.01NP	509032001900	
10/146,088	5/13/2002	METHOD OF FORMING AN AEROSOL FOR INHALATION DELIVERY	00019.01CIP	509032002200	CIP of 10/057,198 (Filed 10/26/2001) and CIP of 10/057,197 (Filed 10/26/2001) and claims benefit of 60/296,225 (Filed 06/05/2001)
10/146,515	5/13/2002	DELIVERY OF AEROSOLS CONTAINING SMALL PARTICLES THROUGH AN INHALATION ROUTE	00020.01CIP	509032002000	CIP of 10/057,198 (Filed 10/26/2001) and CIP of 10/057,197 (Filed 10/26/2001) and claims benefit of 60/296,225 (Filed 06/05/2001)
10/146,516	5/13/2002	DELIVERY OF DRUG ESTERS THROUGH AN INHALATION ROUTE	00021.01R	509032002500	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/437,643	5/13/2003	DELIVERY OF DRUG AMINES THROUGH AN INHALATION ROUTE	00022.02R		60/380,819 (Filed 05/13/2002)
10/150,267	5/15/2002	DELIVERY OF MUSCLE RELAXANTS THROUGH AN INHALATION ROUTE	00023.01R	509032003000	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/150,268	5/15/2002	DELIVERY OF STIMULANTS THROUGH AN INHALATION ROUTE	00024.01R	509032002900	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/151,596	5/16/2002	DELIVERY OF ANALGESICS THROUGH AN INHALATION ROUTE	00025.01R	509032003300	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)

**Table - Non-Provisional U.S. Applications**

10/151,626	5/16/2002	DELIVERY OF ANTIDEPRESSANTS THROUGH AN INHALATION ROUTE	00026.01R	509032003600	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/150,591	5/17/2002	DELIVERY OF ANTIEMETICS THROUGH AN INHALATION ROUTE	00027.01R	509032002700	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/150,857	5/17/2002	DELIVERY OF SEDATIVE-HYPNOTICS THROUGH AN INHALATION ROUTE	00028.01R	40145-8001 (formerly 509032004400)	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/152,639	5/20/2002	DELIVERY OF ANTIPSYCHOTICS THROUGH AN INHALATION ROUTE	00029.01R	509032003500	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/152,640	5/20/2002	DELIVERY OF ANTI-MIGRAINE COMPOUNDS THROUGH AN INHALATION ROUTE	00030.01R	509032004200	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/152,652	5/20/2002	DELIVERY OF BETA-BLOCKERS THROUGH AN INHALATION ROUTE	00031.01R	509032002800	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/153,139	5/20/2002	DELIVERY OF COMPOUNDS FOR THE TREATMENT OF PARKINSONS THROUGH AN INHALATION ROUTE	00032.01R	509032004500	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/153,311	5/21/2002	DELIVERY OF DIPHENHYDRAMINE THROUGH AN INHALATION ROUTE	00033.01R	509032004600	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/153,313	5/21/2002	DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE	00034.01R	509032003900	60/294,203 (Filed 5/24/2001), 60/317,479 (Filed 9/5/2001) and 60/345,145 (Filed 11/9/2001)
10/153,831	5/21/2002	DELIVERY OF ANTIHISTAMINES THROUGH AN INHALATION ROUTE	00035.01R	509032003400	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/153,839	5/21/2002	DELIVERY OF OPIOIDS THROUGH AN INHALATION ROUTE	00036.01R	509032003100	60/294,203 (Filed 05/24/2001) and 60/317,479 (09/05/2001)
10/155,373	5/22/2002	DELIVERY OF ALPRAZOLAM, ESTAZOLAM, MIDAZOLAM OR TRIAZOLAM THROUGH AN INHALATION ROUTE	00037.01R	509032004000	60/294,203 (Filed 5/24/2001), 60/317,479 (Filed 9/5/2001) and 60/345,876 (Filed 11/9/2001)

**Table - Non-Provisional U.S. Applications**

10/155,621	5/22/2002	DELIVERY OF RIZATRIPTAN OR ZOLMITRIPTAN THROUGH AN INHALATION ROUTE	00038.01R	509032004100	60/294,203 (Filed 5/24/2001), 60/317,479 (Filed 9/5/2001), 60/332,280 (Filed 11/21/2001) and 60/336,218 (Filed 10/30/2001)
10/155,703	5/22/2002	DELIVERY OF ERECTILE DYSFUNCTION DRUGS THROUGH AN INHALATION ROUTE	00039.01R	509032003700	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/155,705	5/22/2002	DELIVERY OF SUMATRIPTAN, FROVATRIPTAN OR NARATRIPTAN THROUGH AN INHALATION ROUTE	00040.01R	509032004200	60/294,203 (Filed 5/24/2001) and 60/317,479 (Filed 9/5/2001)
10/154,594	5/23/2002	DELIVERY OF COMPOUNDS FOR THE TREATMENT OF MIGRAINE THROUGH AN INHALATION ROUTE	00041.01R	509032004800	60/294,203 filed 05/24/2001 and 60/317,479 filed 09/05/2001
10/154,765	5/23/2002	DELIVERY OF PHYSIOLOGICALLY ACTIVE COMPOUNDS THROUGH AN INHALATION ROUTE	00042.01R	509032004700	60/294,203 (Filed 05/24/2001) and 60/317,479 (Filed 09/05/2001)
10/155,097	5/23/2002	DELIVERY OF NONSTEROIDAL ANTIINFLAMMATORY DRUGS THROUGH AN INHALATION ROUTE	00043.01R	509032003200	60/294,203 (Filed 05/24/2001) and 60/317,479 (09/05/2001)
10/280,315	10/25/2002	VOLATILIZATION OF A DRUG FROM AN INCLUSION COMPLEX	00046.01R	509032001800	60/335,049 (Filed 10/30/2001) and 60/371,457 (Filed 04/09/2002)
10/322,227	12/17/2002	METHOD FOR ADMINISTERING AN ANALGESIC	00056.01R	509032004900	60/342,066 (Filed 12/18/2001) and 60/412,068 (Filed 09/18/2002)
10/442,385	5/20/2003	INHALATION DEVICE FOR PRODUCING A DRUG AEROSOL	00057.01R	40145-8002	60/429,776 (filed 11/27/2002) and 60/429,586 (filed 11/27/2002)

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
10/633,877	8/4/2003	THIN-FILM DRUG DELIVERY ARTICLE AND METHOD OF USE	00059.01R	40145-8004	Continuation-in part of Application No. 10/057,197, filed October 26, 2001, which claims benefit of Provisional Application No. 60/296,225, filed June 5, 2001. Continuation-in-part of Application No. 10/057,198, filed October 26, 2001, which claims benefit of Provisional Application No. 60/296,225, filed June 5, 2001. Continuation-in-part of Application No. 10/146,080, filed May 13, 2002, which is a continuation-in-part of Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This Application is also a continuation-in-part of Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,086, filed May 13, 2002. This application is also a continuation-in-part of Application No. 10/146,088, filed May 13, 2002, which is a continuation-in-part of Patent Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Patent Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,515, filed May 13, 2002, which is a continuation-in-part of Patent Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Patent Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,516, filed May 13, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and also claims the benefit of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,056, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/345,882, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/150,267, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/294,203,

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					<p>filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,268, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,591, filed May 17, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,857, filed May 17, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/151,596, filed May 16, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/151,626, filed May 16, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,639, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,640, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,652, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,139, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No.</p>



**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					<p>10/153,311, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,313, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/345,145, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/153,831, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,839, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/154,594, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/154,765, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,097, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,373, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/345,876, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/155,621, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/332,280, filed November 21, 2001, and of Provisional</p>

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					<p>Application No. 60/336,218, filed October 30, 2001. This application is also a continuation-in-part of Application No. 10/155,703, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,705, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/280,315, filed November 25, 2002, which claims the benefit of Provisional Application No. 60/335,049, filed October 30, 2001, and of Provisional Application No. 60/371,457, filed April 9, 2002. This application is also a continuation-in-part of Application No. 10/302,010, filed November 21, 2002, which claims the benefit of Provisional Application No. 60/332,279, filed November 21, 2001. This application is also a continuation-in-part of Application No. 10/302,614, filed November 21, 2002, which claims the benefit of Provisional Application No. 60/332,165, filed November 21, 2001. This application is also a continuation-in-part of Application No. 10/322,227, filed December 17, 2002, which claims the benefit of Provisional Application No. 60/342,066, filed December 18, 2001, and of Provisional Application No. 60/412,068, filed September 18, 2002.</p>
10/633,876	8/4/2003	RAPID-HEATING DRUG DELIVERY ARTICLE AND METHOD OF USE	00060.01R	40145-8005	<p>Continuation-in part of Application No. 10/057,197, filed October 26, 2001, which claims benefit of Provisional Application No. 60/296,225, filed June 5, 2001. Continuation-in-part of Application No. 10/057,198, filed October 26, 2001, which claims benefit of Provisional Application No. 60/296,225, filed June 5, 2001. Continuation-in-part of Application No. 10/146,080, filed May 13, 2002, which is a continuation-in-part of Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This Application is also a continuation-in-part of Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,086, filed May 13, 2002. This application</p>

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					<p>is also a continuation-in-part of Application No. 10/146,088, filed May 13, 2002, which is a continuation-in-part of Patent Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Patent Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,515, filed May 13, 2002, which is a continuation-in-part of Patent Application No. 10/057,198, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Patent Application No. 10/057,197, filed October 26, 2001, which claims the benefit of Provisional Application No. 60/296,225, filed June 5, 2001. This application is also a continuation-in-part of Application No. 10/146,516, filed May 13, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and also claims the benefit of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,056, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/345,882, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/150,267, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,268, filed May 15, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,591, filed May 17, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/150,857, filed May 17, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No.</p>

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					<p>10/151,596, filed May 16, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/151,626, filed May 16, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,639, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,640, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/152,652, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,139, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,311, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,313, filed May 20, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/345,145, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/153,831, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/153,839, filed May 21, 2002, which claims the benefit of Provisional Application No. 60/294,203,</p>

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Pri rity Information
					<p>filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/154,594, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/154,765, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,097, filed May 23, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,373, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/345,876, filed November 9, 2001. This application is also a continuation-in-part of Application No. 10/155,621, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001, and of Provisional Application No. 60/332,280, filed November 21, 2001, and of Provisional Application No. 60/336,218, filed October 30, 2001. This application is also a continuation-in-part of Application No. 10/155,703, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/155,705, filed May 22, 2002, which claims the benefit of Provisional Application No. 60/294,203, filed May 24, 2001, and of Provisional Application No. 60/317,479, filed September 5, 2001. This application is also a continuation-in-part of Application No. 10/280,315, filed November 25, 2002, which claims the benefit of Provisional Application No. 60/335,049, filed October 30, 2001, and of Provisional Application No. 60/371,457, filed April 9, 2002. This application is also a continuation-in-part of Application No. 10/302,010, filed November 21, 2002, which</p>

**Table – Alexza Non-Provisional U.S. Applications**

Application Number	Application Date	Title	Docket Number	Former Docket No.	Priority Information
					claims the benefit of Provisional Application No. 60/332,279, filed November 21, 2001. This application is also a continuation-in-part of Application No. 10/302,614, filed November 21, 2002, which claims the benefit of Provisional Application No. 60/332,165, filed November 21, 2001. This application is also a continuation-in-part of Application No. 10/322,227, filed December 17, 2002, which claims the benefit of Provisional Application No. 60/342,066, filed December 18, 2001, and of Provisional Application No. 60/412,068, filed September 18, 2002.

<b>Form PTO-1449</b>  <b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number: 00006.01R	Application Number: 10/057,198
	Applicant: Peter M. LLOYD et al.	
	Filing Date: 10/26/2001	Group Art Unit: 1616

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	7/15/2003	6,591,839	Meyer et al.			
	2.	2/13/2003	US2003/0032638 A1	Kim et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	3.	9/17/2000	WO 00/47203	WIPO			
	4.						

## OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	5.	Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE"
	6.	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	